

12104101

Section 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re. U.S. Application of: Takaaki AMANO, ET AL.

Filed: May 23, 2001

Serial No.: 09/863,882

For: TRANSACTION PROCESSING METHOD AND TRANSACTION PROCESSING...

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated August 1, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Priority Documents; Certified copy of Japanese Application no. 2000-153227 filed May 24, 2000 & 2001-151501 filed May 21, 2001;
- ☒ 3. Petition for One Month Extension;
- ☒ 4. Return post card;
- ☒ 5. Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct.  
No. 50-1290.

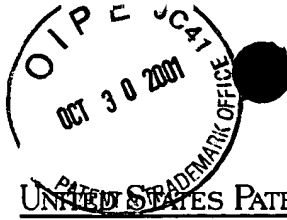
Respectfully submitted,

ANY FEE DUE WITH THIS PAPER, NOT FULLY  
COVERED BY AN ENCLOSED CHECK, MAY BE  
CHARGED ON DEPOSIT ACCOUNT NO. 501290

[X] Samson Helfgott  
Reg. No.: 23,072

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New York, New York 10022-2585  
TELEPHONE: (212) 940-8800  
OCTOBER 30, 2001  
Docket No.: 100809-16256 (SCET 18.691)  
ejh: Mispart.

Filed by Express Mail  
(Receipt No. EW 0142631434)  
on October 30, 2001  
pursuant to 37 C.F.R. 1.10.  
by [Signature]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,882	05/23/2001	Takaaki Amano	SCET 18.691

CONFIRMATION NO. 9462

026304  
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EMPIRE STATE BUILDING  
60TH FLOOR  
NEW YORK, NY 10118

## FORMALITIES LETTER



\*OC00000006369748\*

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:105	130.00 CH